

General Assembly

Amendment

January Session, 2001

LCO No. 6194

Offered by:

SEN. GUNTHER, 21st Dist.

To: Subst. Senate Bill No. 730

File No. 5

Cal. No. 59

"AN ACT CONCERNING THE SUNSET LAW."

Strike out everything after the enacting clause and substitute the following in lieu thereof:

3 "Section 1. (NEW) The Secretary of the Office of Policy and 4 Management shall establish a program for the purpose of measuring 5 the performance of all budgeted state agencies. The secretary shall: (1) 6 Assure that each budgeted state agency develops biennially a strategic 7 business plan that (A) identifies the relevant benchmarks defined by 8 the Connecticut Progress Council pursuant to section 4-67r of the 9 general statutes, and (B) includes goals, objectives and performance 10 measures for each program, service and state grant administered or 11 provided by the agency; (2) assure that the goals, objectives and 12 performance measures included in each budgeted state agency's 13 strategic business plan address performance information needs 14 identified by the joint standing committees of the General Assembly

having cognizance of matters relating to appropriations and

government administration, and the joint standing committee having

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17 cognizance of matters relating to the agency; (3) assure that each 18 budgeted state agency collects data on the performance measures and 19 benchmarks included in the agency's strategic business plan; (4) assure 20 that an annual report is prepared by each budgeted state agency on the 21 programs, services and state grants administered or provided by the 22 agency based on an analysis of the benchmark and performance 23 measurement data included in the agency's strategic business plan and, beginning September 1, 2003, and annually thereafter, assure that 24 25 such report is submitted to the Legislative Program Review and 26 Investigations Committee and the joint standing committee of the 27 General Assembly having cognizance of matters relating to 28 government administration; and (5) assure that the Legislative 29 Program Review and Investigations Committee and the joint standing 30 committees of the General Assembly having cognizance of matters 31 relating to appropriations and government administration, and the 32 joint standing committee having cognizance of matters relating to the 33 agency have access to the benchmark and performance measurement 34 data.

- Sec. 2. Subsection (a) of section 2-53g of the general statutes is repealed and the following is substituted in lieu thereof:
 - (a) The Legislative Program Review and Investigations Committee shall: (1) Direct its staff and other legislative staff available to the committee to conduct program reviews and investigations to assist the General Assembly in the proper discharge of its duties; (2) establish policies and procedures regarding the printing, reproduction and distribution of its reports; (3) review staff reports submitted to the committee and, when necessary, confer with representatives of the state departments and agencies reviewed in order to obtain full and complete information in regard to programs, other activities and operations of the state, and may request and shall be given access to and copies of, by all public officers, departments, agencies and authorities of the state and its political subdivisions, such public records, data and other information and given such assistance as the committee determines it needs to fulfill its duties. Any statutory

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requirements of confidentiality regarding such records, data and other information, including penalties for violating such requirements, shall apply to the committee, its staff and its other authorized representatives in the same manner and to the same extent as such requirements and penalties apply to any public officer, department, agency or authority of the state or its political subdivisions. The committee shall act on staff reports and recommend in its report, or propose, in the form of a raised committee bill, such legislation as may be necessary to modify current operations and agency practices; (4) consider and act on requests by legislators, legislative committees, elected officials of state government and state department and agency heads for program reviews. The request shall be submitted in writing to the Program Review and Investigations Committee and shall state reasons to support the request. The decision of the committee to grant or deny such a request shall be final; (5) conduct investigations requested by joint resolution of the General Assembly, or, when the General Assembly is not in session, (A) requested by a joint standing committee of the General Assembly or initiated by a majority vote of the Program Review and Investigations Committee and approved by the Joint Committee on Legislative Management, or (B) requested by the Joint Standing Committee on Legislative Management. In the event two or more investigations are requested, the order of priority shall be determined by the Legislative Program Review and Investigations Committee; (6) retain, within available appropriations, the services of consultants, technical assistants, research and other personnel necessary to assist in the conduct of program reviews and investigations; (7) originate, and report to the General Assembly, any bill it deems necessary concerning a program, department or other matter under review or investigation by the committee, in the same manner as is prescribed by rule for joint standing committees of the General Assembly; [and] (8) review audit reports after issuance by the Auditors of Public Accounts, evaluate and sponsor new or revised legislation based on audit findings, provide means to determine compliance with audit recommendations and receive facts concerning any unauthorized, illegal, irregular or unsafe handling or expenditures

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of state funds under the provisions of section 2-90; (9) analyze and comment on the annual reports submitted to the committee pursuant to section 1 of this act; (10) analyze and comment on the performance and benchmark data obtained pursuant to section 1 of this act; and (11) beginning January 1, 2004, and annually thereafter, distribute reports and data submitted by the Secretary of the Office of Policy and Management with the committee's comments on the reports and data to the joint standing committees of the General Assembly having cognizance of matters relating to appropriations and government administration, and the joint standing committee having cognizance of matters relating to the agency.

Sec. 3. (NEW) Whenever the Auditors of Public Accounts conduct an audit pursuant to section 2-90 of the general statutes, the auditors shall determine if: (1) The strategic business plan required under section 1 of this act is current; (2) the strategic business plan required under section 1 of this act contains all the required elements; (3) the data pertaining to the performance measures and benchmarks required by section 1 of this act are being collected; and (4) the data being collected is reliable and valid.

Sec. 4. Not later than one hundred eighty days after the effective date of this section, the Secretary of the Office of Policy and Management shall establish a schedule whereby each budgeted state agency shall biennially develop a strategic business plan pursuant to section 1 of this act. The schedule established by the Secretary of the Office of Policy and Management shall require at least twenty per cent of the budgeted state agencies to develop such a plan by July 1, 2003, and shall require that not later than July 1, 2006, all budgeted state agencies are biennially developing such strategic business plans.

Sec. 5. (NEW) (a) The Secretary of the Office of Policy and Management shall (1) study the coordination of planning and program activities among executive branch agencies and related performance measurements, (2) study how to improve such interagency coordination and performance measurements, and (3) establish and

implement a plan to improve such interagency coordination and performance measurements.

- (b) Not later than January 1, 2002, and biennially thereafter, the secretary shall submit a report on the study and the plan to the joint standing committee of the General Assembly having cognizance of matters relating to government administration. The report shall describe: (1) The existing areas of interagency coordination and related performance measures; (2) ways to improve such interagency coordination and performance measurements; (3) the plan established pursuant to subdivision (3) of subsection (a) of this section to improve interagency coordination and performance measurements; (4) the progress, if any, made in implementing the plan; (5) the procedure for completing the implementation of the plan, and (6) the procedure for measuring interagency coordination.
- 133 Sec. 6. Section 17a-2 of the general statutes is repealed and the following is substituted in lieu thereof:
 - (a) There shall be a Department of Children and Families which shall be a single budgeted agency consisting of the institutions, facilities programs now existing within the department, any programs and facilities transferred to the department, and such other institutions, facilities and programs as may hereafter be established by or transferred to the department by the General Assembly.
- (b) Said department shall constitute a successor department to the Department of Children and Youth Services, for the purposes of sections [2c-2b,] 4-5, 4-38c, 4-60i, 4-77a, 4-165b, 4a-11b, 4a-12, 4a-16, 5-259, 7-127c, 8-206d, 10-8a, 10-15d, 10-76d, 10-76h, 10-76i, 10-76w, 10-76g, 10-94g, 10-253, 17-86a, 17-294, 17-409, 17-437, 17-572, 17-578, 17-579, 17-585, 17a-1 to 17a-89, inclusive, 17a-90 to 17a-209, inclusive, 17a-218, 17a-277, 17a-450, 17a-458, 17a-463, 17a-474, 17a-560, 17a-511, 17a-634, 17a-646, 17a-659, 18-69, 18-69a, 18-87, 19a-78, 19a-125, 19a-216, 20-14i, 20-14j, 31-23, 31-306a, 38a-514, 45a-591 to 45a-705, inclusive, 45a-706 to 45a-770, inclusive, 46a-28, 46a-126, 46b-15 to 46b-19, inclusive,

46b-120 to 46b-159, inclusive, 54-56d, 54-142k, 54-199, 54-203 and in accordance with the provisions of sections 4-38d and 4-39.

- 153 (c) Whenever the words "Commissioner of Children and Youth
- 154 Services", "Department of Children and Youth Services", or "Council
- on Children and Youth Services" are used in sections [2c-2b,] 4-5, 4-38c,
- 156 4-60i, 4-77a, 4-165b, 4a-11b, 4a-12, 4a-16, 5-259, 7-127c, 8-206d, 10-8a,
- 157 10-15d, 10-76d, 10-76h, 10-76i, 10-76w, 10-94g, 10-253, 17-86a, 17-294,
- 158 17-409, 17-437, 17-572, 17-578, 17-579, 17-585, 17a-1 to 17a-89, inclusive,
- 159 17a-90 to 17a-209, inclusive, 17a-218, 17a-277, 17a-450, 17a-458, 17a-463,
- 160 17a-474, 17a-511, 17a-634, 17a-646, 17a-659, 18-69, 18-69a, 18-87, 19a-78,
- 161 19a-125, 19a-216, 20-14i, 20-14j, 31-23, 31-306a, 38a-514, 45a-591 to 45a-
- 162 705, inclusive, 45a-706 to 45a-770, inclusive, 46a-28, 46a-126, 46b-15 to
- 163 46b-19, inclusive, 46b-120 to 46b-159, inclusive, 54-56d, 54-142k, 54-199,
- 164 54-203, the words "Commissioner of Children and Families",
- 165 "Department of Children and Families", and "Council on Children and
- 166 Families" shall be substituted respectively in lieu thereof.
- Sec. 7. Section 17a-247a of the general statutes is repealed and the
- 168 following is substituted in lieu thereof:
- As used in sections 17a-247b to 17a-247e, inclusive: [, and
- 170 subdivision (31) of subsection (a) of section 2c-2b:]
- 171 (1) "Abuse" means the wilful infliction by an employee of physical
- 172 pain or injury or the wilful deprivation of services necessary to the
- 173 physical and mental health and safety of a department client.
- 174 (2) "Authorized agency" means any agency authorized in
- accordance with the general statutes to conduct abuse and neglect
- investigations and responsible for issuing or carrying out protective
- 177 services for persons with mental retardation.
- 178 (3) "Commissioner" means the Commissioner of Mental Retardation.
- (4) "Department" means the Department of Mental Retardation.

180 (5) "Department client" means a person who is eligible for, and receives services or funding from, the department.

- 182 (6) "Employee" means any individual employed (A) by the 183 department, or (B) by an agency, organization or individual that is 184 licensed or funded by the department.
- 185 (7) "Employer" means (A) the department, or (B) an agency, 186 organization or individual that is licensed or funded by the 187 department.
- 188 (8) "Neglect" means the failure by an employee, through action or 189 inaction, to provide a department client with the services necessary to 190 maintain such client's physical and mental health and safety.
- 191 (9) "Protective services" has the same meaning as provided in section 46a-11a.
- (10) "Registry" means a centralized data base containing informationregarding substantiated abuse or neglect.
- (11) "Substantiated abuse or neglect" means a determination by an authorized agency, following an investigation conducted or monitored by such agency, that (A) abuse or neglect of a department client has occurred, or (B) there has been a criminal conviction of a felony or misdemeanor involving abuse or neglect.
- Sec. 8. Subsection (a) of section 17a-450a of the general statutes is repealed and the following is substituted in lieu thereof:
- 202 (a) The Department of Mental Health and Addiction Services shall 203 constitute a successor department to the Department of Mental Health. 204 Whenever the words "Commissioner of Mental Health" are used or 205 referred to in the following general statutes, the words "Commissioner 206 of Mental Health and Addiction Services" shall be substituted in lieu 207 thereof and whenever the words "Department of Mental Health" are 208 used or referred to in the following general statutes, the words 209 "Department of Mental Health and Addiction Services" shall be

210 substituted in lieu thereof: [2c-2b,] 4-5, 4-38c, 4-60i, 4-77a, 4a-12, 4a-16,

- 211 5-142, 8-206d, 10-19, 10-71, 10-76d, 13b-38n, 17a-14, 17a-26, 17a-31, 17a-
- 212 33, 17a-218, 17a-246, 17a-450, 17a-451, 17a-452, 17a-453, 17a-454, 17a-
- 213 455, 17a-456, 17a-457, 17a-458, 17a-459, 17a-460, 17a-463, 17a-464, 17a-
- 214 465, 17a-466, 17a-467, 17a-468, 17a-470, 17a-471, 17a-472, 17a-473, 17a-
- 215 474, 17a-476, 17a-478, 17a-479, 17a-480, 17a-481, 17a-482, 17a-483, 17a-
- 216 484, 17a-498, 17a-499, 17a-502, 17a-506, 17a-510, 17a-511, 17a-512, 17a-
- 217 513, 17a-519, 17a-528, 17a-560, 17a-561, 17a-562, 17a-565, 17a-576, 17a-
- 218 581, 17a-582, 17a-675, 17b-28, 17b-222, 17b-223, 17b-225, 17b-359, 17b-
- 219 420, 17b-694, 19a-82, 19a-495, 19a-498, 19a-507a, 19a-507c, 19a-576, 19a-
- 220 583, 20-14i, 20-14j, 21a-240, 21a-301, 22a-224, 27-122a, 31-222, 38a-514,
- 221 46a-28, 51-51o, 52-146h and 54-56d.
- Sec. 9. Subsection (b) of section 17a-456 of the general statutes is
- repealed and the following is substituted in lieu thereof:
- (b) Whenever the term "Board of Mental Health" is used or referred
- 225 to in the following sections of the general statutes, the term "Board of
- 226 Mental Health and Addiction Services" shall be substituted in lieu
- 227 thereof: [2c-2b,] 17a-457, 17a-460, 17a-467, 17a-473, 17a-564.
- Sec. 10. Section 19a-13 of the general statutes is repealed and the
- 229 following is substituted in lieu thereof:
- As used in [subsection (a) of section 2c-2b,] this chapter and
- 231 chapters 368v, 369 to 375, inclusive, 378 to 381, inclusive, 383 to 388,
- inclusive, 398 and 399 unless the context otherwise requires:
- 233 (1) "Certificate" includes the whole or part of any Department of
- 234 Public Health permit which the department is authorized by the
- 235 general statutes to issue and which further: (A) Authorizes practice of
- 236 the profession by certified persons but does not prohibit the practice of
- 237 the profession by others, not certified; (B) prohibits a person from
- 238 falsely representing that [he] the person is certified to practice the
- 239 profession unless the person holds a certificate issued by the
- 240 department; (C) requires as a condition to certification that a person

submit specified credentials to the department which attest to qualifications to practice the profession;

- (2) "Emerging occupation or profession" means a group of health care providers whose actual or proposed duties, responsibilities and services include functions which are not presently regulated or licensed or which are presently performed within the scope of practice of an existing licensed or otherwise regulated health occupation or profession;
 - (3) "License" includes the whole or part of any Department of Public Health permit, approval or similar form of permission required by the general statutes and which further requires: (A) Practice of the profession by licensed persons only; (B) that a person demonstrate competence to practice through an examination or other means and meet certain minimum standards; (C) enforcement of standards by the department or regulatory board or commission;
 - (4) "Public member" means an elector of the state who has no substantial financial interest in, is not employed in or by, and is not professionally affiliated with, any industry, profession, occupation, trade or institution regulated or licensed by the board or commission to which [he] the elector is appointed, and who has had no professional affiliation with any such industry, profession, occupation, trade or institution for three years preceding [his] the elector's appointment to the board or commission;
 - (5) "Registration" means the required entry upon a list maintained by the Department of Public Health of the name of a practitioner or the address of a place where a practice or profession subject to the provisions of [subsection (a) of section 2c-2b,] this chapter and chapters 368v, 369 to 375, inclusive, 378 to 381, inclusive, 383 to 388, inclusive, 398 and 399 may be engaged in;
- (6) "Complaint" means a formal statement of charges issued by the Department of Public Health.

272 Sec. 11. Subdivision (4) of subsection (a) of section 19a-14 of the 273 general statutes is repealed and the following is substituted in lieu 274 thereof:

- (4) Adopt, with the advice and assistance of the appropriate board 276 or commission, and in accordance with chapter 54, any regulations 277 which are consistent with protecting the public health and safety and which are necessary to implement the purposes of [subsection (a) of 279 section 2c-2b, this chapter [,] and chapters 368v, 369 to 375, inclusive, 378 to 381, inclusive, 383 to 388, inclusive, 398 and 399.
- 281 Sec. 12. Sections 2c-1 to 2c-12, inclusive, of the general statutes are 282 repealed.
- 283 Sec. 13. This act shall take effect July 1, 2001."

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